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Customer Number

Patent
Case No.: 58391US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: FAN, XUDONG

Application No.: 10/685049

Confirmation No.: 5689

Filed: October 14, 2003

Title: HYBRID SPHERE-WAVEGUIDE RESONATORS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p).

Fees:

☐ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723.
One copy of this sheet marked duplicate is also enclosed.

☒ Any required fee will be paid at the time of EFS-Web submission.

☒ It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

27- OCT -2006
Date

By: William D. Miller
William D. Miller, Reg. No.: 37,988
Telephone No.: 651-733-6649

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 1 of 1	Application Number	10/685049
	Filing Date	October 14, 2003
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	Attorney Case Number	58391US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 6,865,317	03/08/2005	Vahala et al.	
	A2	US- 6,888,987	05/03/2005	Sercel et al.	
	A3	US- 6,891,996	05/10/2005	Sercel et al.	
	A4	US- 6,891,997	05/10/2005	Sercel et al.	
	A5	US- 2002/0094150	07/18/2002	Lim et al.	
	A6	US- 2004/0120638	06/24/2004	Frick	
	A7	US- 2004/0146431	07/29/2004	Scherer et al.	
	A8	US- 2005/0035278	02/17/2005	Margalit et al.	
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						
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OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
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